

1	2	3	4	$\Sigma$
PROPOSED	SOLUTIONS			
25 pts	25 pts	25 pts	25 pts	100 pts

Date: December 1, 2025	Full Name:
Time: 17:00-19:00	

In this exam,  $X = (X, d)$  and  $Y = (Y, \tau)$  denote metric spaces;  $A \subsetneq X$  is a subspace of  $X$ .  
 $(V, \|\cdot\|_V)$  and  $(W, \|\cdot\|_W)$  are Banach spaces. Any vector space in this exam is over  $\mathbb{R}$ .  
 $\rightsquigarrow$  means "write here".

You can use any theorem that was proven in my classes. Moreover I encourage you use them instead of proving many things from scratch.

1. Let  $A$  be sequentially compact in  $X$ .

(a) [2] Give the definition of sequential compactness:

  $A$  is sequentially compact if every sequence in  $A$  has a convergent subsequence in  $A$ .

(b) [3] Fill in the blanks: if  $g : P \rightarrow S$  is continuous and  $P$  is compact, then so is  $g(P)$ .

(c) [20] (p. 129) Let  $x \in X - A$ . Show that there exists a point  $a \in A$  such that  $d(x, a) = d(x, A)$ .

Recall:  $d(x, A) \doteq \inf\{d(x, a) | a \in A\}$ . Hint: The quickest proof is through part (b).

Recall  $d : X \times X \rightarrow \mathbb{R}$  is continuous. Fix  $x \in X - A$ . Since  $\{x\} \times A$  is compact,  $d(\{x\} \times A)$  is compact. Hence  $d$  attains its minimum at some point  $(x, a) \in \{x\} \times A$ .

2. (p. 143) In an arbitrary metric space, if you don't have a nice theorem like Heine-Borel, it is in general difficult to find compact subspaces. Here is a famous compact subspace of  $\ell^2$ .

(a) [4] Give the definition of the space  $\ell^2$  and the associated norm  $\|\cdot\|_2$ :

↪  $\ell^2 = \text{the set of real sequences } a = (a_n) \text{ with } \sum_{n=1}^{\infty} a_n^2 \text{ finite.}$   
 $\|a\|_2 = \left( \sum_{n=1}^{\infty} a_n^2 \right)^{1/2}$

The Hilbert cube  $K \subset \ell^2$  is the set of all sequences  $a = (a_n)_{n=1}^{\infty} \in \ell^2$  such that  $0 \leq a_n \leq 1/n$  for each  $n$ . We show  $K$  is compact by showing that  $K$  is (c) complete, and (d) totally bounded.

(b) [10] Show that for any  $a \in K^c$ , there is  $r > 0$  such that  $B_r(a) \subset K^c$ . (Recall  $\forall b \in \ell^2, \forall m, |b_m| \leq \|b\|_2$ .) This proves  $K$  is closed in  $\ell^2$ .

↪  $a \in K^c \Leftrightarrow a \in \ell^2 \text{ and } \exists m: a_m > 1 \text{ (or } a_m < 0\text{). Then set } r = (a_m - \frac{1}{m})/2 \text{ (or } r = \frac{|a_m|}{2}\text{).}$   
 In that case  $B_r(a) \subset K^c$ , because  $\forall b = (b_n) \in B_r(a)$ ,  
 $|b_m - a_m| \leq \|b - a\|_2 < r \Rightarrow b_m > \frac{1}{m} \text{ (or } b_m < 0\text{)} \Rightarrow b \notin K$ .

(c) [5] How does it follow from part (b) that  $K$  is complete?

↪  $\ell^2 \text{ complete } K \subset \ell^2 \text{ closed } \rightarrow K \text{ complete}$

(d) [6] Show that  $K$  is totally bounded. Hint: If you delete the tails of sequences, you can cover what remains by finitely many  $\varepsilon$ -balls. Why? After this, how would you proceed?

$\forall \varepsilon > 0$  given,  $\exists N$  s.t.  $\sum_{k=N+1}^{\infty} a_k^2 \leq \sum_{k=N+1}^{\infty} \frac{1}{k^2} < \varepsilon/3$ , since  $\sum \frac{1}{k^2}$  is convergent.

Define  $K_N = \{(a_1, \dots, a_N, 0, 0, \dots) \in K\}$ . Since  $K_N \subset \mathbb{R}^N$  & is bounded, it is totally bounded. Cover it by finitely many  $\frac{\varepsilon}{3}$ -balls  $B_{\varepsilon/3}(u_i)$ .

Now for any  $a \in K$ ,  $(a_1, \dots, a_N, 0, 0, \dots)$  lies in some  $B_{\varepsilon/3}(u_i)$  then

$\|a - u_i\|_2 = \frac{\varepsilon}{3} + \sum_{n=1}^{\infty} \frac{1}{k^2} < \frac{\varepsilon}{3} + \frac{\varepsilon}{3} < \varepsilon$ , that is,  $a \in B_{\varepsilon}(u_i)$ .

This proves that given  $\varepsilon > 0$ ,  $K$  can be covered by finitely many  $\varepsilon$ -balls.

3. Suppose  $X$  is compact.

(a) [2] Give the definition of the sup norm on the space  $C(X, \mathbb{R})$ :

$$\text{For } f \in C(X, \mathbb{R}), \quad \|f\|_{\infty} = \sup_{x \in X} |f(x)|$$

(b) [5] Tell explicitly the product metric  $\mu$  on the product space  $C(X, \mathbb{R}) \times X$ :

(Use this metric in part (c). If you cannot answer (a)&(b) correctly, it will be impossible to get any points in part (c)!)

$$\mu((f, x), (g, y)) = \max(\|f-g\|_{\infty}, d_X(x, y))$$

(c) [18] (p. 161) Consider the function  $v : C(X, \mathbb{R}) \times X \rightarrow \mathbb{R}$ ,  $v(f, x) = f(x)$ . Show that  $v$  is continuous.

Hint: Given  $\alpha \in \mathbb{R}$  and  $v(f, x) = \alpha$ ; when  $\varepsilon > 0$  is given, find an open ball  $B$  with center  $(f, x)$  such that  $v(B)$  lies in  $B_{\varepsilon}(\alpha)$ .

$\forall f$ , given  $\varepsilon > 0$ ,  $\exists \delta_{\varepsilon, f} > 0$  s.t.  $\forall x, y \in X$ ,  $d_X(x, y) < \delta_{\varepsilon, f} \Rightarrow |f(x) - f(y)| < \varepsilon/2$

Now given  $\alpha \in \mathbb{R}$  with  $v(f, x) = f(x) = \alpha$ , and given  $\varepsilon > 0$ ,

Set  $U_{\delta} = \{(g, y) \in C(X, \mathbb{R}) \times X \mid \|g-f\|_{\infty} < \varepsilon/2 \text{ & } d_X(y, x) < \delta_{\varepsilon, f}\}$ , open in  $C(X) \times X$ .

Then  $\forall (g, y) \in U_{\delta}$ ,  $|v(g, y) - v(f, x)| = |g(y) - f(x)| \leq |g(y) - f(y)| + |f(y) - f(x)| \leq \varepsilon/2 + \varepsilon/2 = \varepsilon$ .

This proves  $v(U_{\delta}) \subset B_{\varepsilon}(f(x))$ .

4. (a) [3] Give the definition of uniform continuity for a function  $g : X \rightarrow Y$ :

$$\rightsquigarrow \forall \varepsilon > 0, \exists \delta_\varepsilon : \forall x, y \in X \quad d_X(x, y) < \delta_\varepsilon \Rightarrow d_Y(g(x), g(y)) < \varepsilon.$$

(b) [6] (p. 168) Let  $h : V \rightarrow W$  be linear and continuous. Show that  $h$  is uniformly continuous.

Let  $D \subset V$  be a dense vector subspace of  $V$ ;  $f : D \rightarrow W$  be linear and continuous (and hence uniformly continuous by (b)). We have proven that such an  $f$  has a unique continuous extension  $F : V \rightarrow W$ .

(c) [4] Remind me how the extension  $F$  is defined at a point  $x \in V - D$ :



Take any  $(a_n)$  in  $D$  with limit  $x$ . Define  $F(x) = \lim_{n \rightarrow \infty} f(a_n)$ .

(d) [6] (p. 168) Show that  $F$  is linear too.

(e) [6] (p. 168) If for all  $x \in D$ ,  $\|f(x)\|_W \leq K \cdot \|x\|_V$  then prove that for all  $x \in V$ ,  $\|F(x)\|_W \leq K \cdot \|x\|_V$ . (This implies that  $f$  and its unique extension  $F$  have the same operator norm.)

(b) Recall linear  $h : V \rightarrow W$  is continuous iff  $\exists K > 0$  s.t.  $\|h(x)\|_W \leq K \cdot \|x\|_V, \forall x \in V$ .

Given  $\varepsilon > 0$ , let  $\delta_\varepsilon = \varepsilon / K$ . Then  $\forall x, y \in V$ ,

$$\|x - y\|_V < \delta_\varepsilon \Rightarrow \|h(x) - h(y)\|_W \leq K \cdot \|x - y\|_V < K \cdot \frac{\varepsilon}{K} = \varepsilon.$$

(d) Let  $x, y \in V$ ;  $(a_n), (b_n)$  in  $D$  with  $\lim a_n = x$ ,  $\lim b_n = y$ . Let  $\alpha \in \mathbb{R}$ .

Observe  $\alpha x + y = \lim_{n \rightarrow \infty} (\alpha a_n + b_n)$ . Then

$$\text{Then } F(\alpha x + y) = \lim_{n \rightarrow \infty} f(\alpha a_n + b_n) \stackrel{f \text{ is linear}}{=} \lim_{n \rightarrow \infty} \alpha f(a_n) + f(b_n) = \alpha F(x) + F(y).$$

$$\begin{aligned} (e) \quad \forall x \in V, \quad \|F(x)\|_W &= \left\| \lim_{n \rightarrow \infty} f(a_n) \right\|_W = \left\| \lim_{n \rightarrow \infty} f(a_n) \right\|_W \stackrel{\text{norm is contin.}}{\leq} K \cdot \lim_{n \rightarrow \infty} \|f(a_n)\|_W \leq K \cdot \lim_{n \rightarrow \infty} \|a_n\|_V \\ &\approx K \cdot \left\| \lim a_n \right\|_V = K \cdot \|x\|_V. \end{aligned}$$